

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: SAEKI

SERIAL NO.: 10/022,551

FILED: DECEMBER 17, 2001

FOR: Clock and Data Recovery Circuit and Clock Control Method

DOCKET: NEC NEG-239

Assistant Commissioner for Patents  
Washington, D.C. 20231

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#4  
EN  
3-4-03  
**RECEIVED**

FEB 12 2003

**GROUP 3600**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In connection with the above-identified application, Applicant hereby attaches U.S. Patent Office Form PTO-1449, including a copy of the reference listed thereon together with the English translation. This reference was first cited in a Taiwanese Office Action dated October 29, 2002 of the corresponding Taiwanese patent application. Enclosed is the Taiwanese Office Action, together with an English translation of the relevant portions thereof. The claims in the present application are believed to be patentably distinguishable over this reference.

This information disclosure statement is being made pursuant to the duty of disclosure imposed by law and formulated in 37 CFR 1.56(a). No representation is made that the information thus disclosed in fact constitutes prior art or that it is the closest prior art, inasmuch as 37 CFR 1.56 (a) relies on a materiality concept which depends on subjectivity.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record

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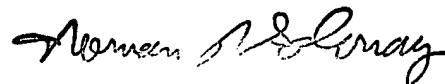
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submits a translation of portions of an official action by a foreign examiner in which the references was cited. The relevance to the pending U.S. patent application is that the reference was cited in a foreign patent application on the same subject matter. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner, or the claims of the foreign application under the laws of the country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

The enclosed Information Disclosure Statement is being submitted within three months of the Taiwanese Office Action, as certified in the attached Certification for Information Disclosure Statement.

Therefore, we believe that there are no fees involved with this information disclosure statement. However, in the event there are any fees payable, please charge them to our Deposit Account No. 08-1391.


Respectfully submitted,



Norman P. Soloway  
Attorney for Applicant  
Reg. No. 24,315

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on January 29, 2003 at Manchester, New Hampshire.

By: 

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**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.  
NEC NEG-239

In Re Application Of: SAEKI

FEB 05 2003

Serial No.  
10/022,551

Filing Date  
December 17, 2001

Examiner

Group Art Unit

Invention: Clock and Data Recovery Circuit and Clock Control Method

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.



Signature

Dated: January 24, 2003

Norman P. Soloway, Reg. No. 24,315  
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Johanne Hrycuna

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

FEB 05 2003

Docket Number (Optional)

NEC NEG-239

Application Number

10/022,551

Applicant(s)

SAEKI

Filing Date

December 17, 2001

Group Art Unit

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		85114730	11/29/96	Taiwan w/English translation	H03M	5/12	✓	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.